2566-106 vmd





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of	)	ATTENTION: INITIAL PA EXAMINATION DIVISION	
David C. CUSHING et al.	)		RECEIVED
Serial No. 09/699,503	) )	Examiner: unknown	JAN 3 0 2001
Filed: October 31, 2000	) )	Group Art Unit: 2161	FFICE OF PETITIONS
For: SYSTEM AND METHOD FOR EXECUTING STRATEGY SECURITY TRADING	)		

## RESPONSE TO NOTICE TO FILE MISSING PARTS

Assistant Commissioner for Patents Washington, D.C. 20231

## Dear Sir:

In response to the Notice to File Missing Parts dated January 17, 2001 (copy enclosed), applicants point out that the subject patent application was filed with a petition to accept the application without the signature of co-inventor D'Souza, under 37 CFR § 1.47(a) (copy also enclosed with copy of stamped PTO receipt).

Accordingly, withdrawal of the Notice to File Missing Parts and action on the petition to accept application under Rule 47(a) is requested.

Please charge any fee or credit any overpayment pursuant to 37 CFR 1.16 or 1.17 to Deposit Account No. 02-2135.

Respectfully submitted,

ROTHWELL, FIGG, ERNST & MANBECK, p.c.

y Vincent M De Lu

Vincent M. DeLuca Attorney for Applicants Registration No. 32,408

**RECEIVED** 

JAN 3 0 2001

555 Thirteenth Street, N.W. Suite 701 East Tower Washington, D.C. 20004 Telephone: (202)783-6040

OFFICE OF PETITIONS

Enclosure(s): Copy of Rule 47(a) Petition; copy of stamped PTO

receipt

PECEIVED

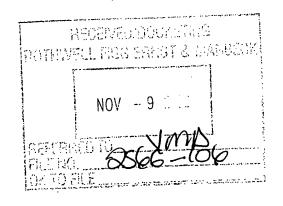
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# **NEW APPLICATIONS ONLY**

FOR SERVA

THE PATENT OFFICE'S STAMP HEREIN IS ACKNOWLEDGEMENT,
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DOCKET NO. 2566-106 \$ \$ 130 Ck
APPLICANT David C. Cushing et al.
Security Trading No. OF CLAIMS 16
SHEETS OF DRAWINGS 4
OATH OR DECLARATION JC917 U.B. PTO
FEE \$ 355 CHECK OR DEPOSIT ACCOUNT 1996 199503
TYPISTS TNITIALS CJK
ATTORNEYS INITIALS VM () VM







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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/699,503

10/31/2000

David C. Cushing

2566-106

Rothwell Figg Ernst & Manbeck PC 555 13th Street NW Suite 701-E Washington, DC 20004

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JAN 3 0 2001

FORMALITIES LETTER
\*OC000000005684239\*

OFFICE OF PETITIONS

Date Mailed: 01/17/2001

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration: Rohit D'Souza
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/29/2001 JADD01 00000064 022135 09699503
01 FC:205 65.00 CH

RGiustment date: 03/16/2001 LGIEBS
01/29/2001 JADD01 00000064 022135 09699503
01 FC:205 65.00 CR

10/20/201 JADD01 00000064 022135 09699503

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